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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/163,993	
	Filing Date	September 30, 1998	
	First Named Inventor	Mohan ANANDA	
	Group Art Unit	2161	
	Examiner Name	H. Soug	
Total Number of Pages in This Submission	691	Attorney Docket Number	81045-913

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- 33 IDS references (685 pgs.)
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- Return Receipt postcard.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	The Hecker Law Group By: Cynthia A. Casby, Reg. No. 47,475
Signature	
Date	May 22, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/22/2002	
Typed or printed name	Deanna Blizzard
Signature	
Date	May 22, 2002

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PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number 09/163,993
 Filing Date September 30, 1998
 First Named Inventor Mohan ANANDA
 Examiner Name H. Sough
 Group Art Unit 2161
 Attorney Docket No. 81045.913

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METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number _____
 Deposit Account Name _____

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) ---

2. EXTRA CLAIM FEES

Total Claims -20** = X =
 Independent Claims - 3** = X =
 Multiple Dependent =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) ---

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	180.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

Name (Print/Type) Cynthia A. Casby

Registration No. 47,475
(Attorney/Agent)

Complete (if applicable)

Telephone (310) 286-0377

Signature

Date May 22, 2002

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Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/163,993		
		Filing Date	September 30, 1998		
		First Named Inventor	Mohan ANANDA		
		Art Unit	2161		
		Examiner Name	H. Sough		
Sheet	1	of	4	Attorney Docket Number	81045.913

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 360 225 A2	03/28/1990	Jose Pastor		
		EP 0 647 925 A2	04/12/1995	Leon Pintsov		
		EP 0 663 652 A2	07/19/1995	Robert Cordery, et al.		
		EP 0 840 258 A2	05/06/1998	Ryan Frederick Jr. et al		
		EP 0 854 448 A2	07/22/1998	Mark Bresnan		
		EP 0 892 367 A2	01/20/1999	K. Kodonas G., et al		
		EP 0 923 024 A2	06/16/1999	Naoki Tuchitot, et al.		
		EP 0 926 630 A2	06/30/1999	Dr. Dieter Pauschinger		
		EP 0 927 958 A2	07/07/1999	Perry A. Pierce		
		EP 0 927 963 A2	07/07/1999	Ryan Frederick Jr. et al		

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/163,993		
		Filing Date	September 30, 1998		
		First Named Inventor	Mohan ANANDA		
		Art Unit	2161		
		Examiner Name	H. Sough		
Sheet	2	of	4	Attorney Docket Number	81045.913

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			RECEIVED MAY 31 2002 Technology Center 2100
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 944 027 A2	09/22/1999	Dr. Dieter Pauschinger		
		EP 0 948 158 A2	10/06/1999	Volker Baum		
		GB 2 318 486 A	04/22/1998	Christopher J. Holloway		
		WO 94/27258	11/24/1994	Donald E. Rhoades		
		WO 98/51029	11/12/1998	Larry T. Jost		
		WO 98/57302	12/17/1998	L. Gravell, et al.		
		WO 99/18514	04/15/1999	T. Costigan, et al.		
		WO 99/48054	09/23/1999	George Brookner		

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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/163,993
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	September 30, 1998
		First Named Inventor	Mohan ANANDA
		Group Art Unit	2161
		Examiner Name	H. Sough
		Attorney Docket Number	81045.913
Sheet	3	of	4

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		LOUISE FICKEL, Know Your Customer, CIO Magazine, August 15, 1999, pages 1-10. E-Stamp Corporation, e-stamp internet postage Quick Reference Card, 4 pages. Copyright, 1999 E-Stamp Corporation
		Microsoft - REFERENCE # 1: How the Envelope Feed Option Icon Works: http://support.microsoft.com/support/kb/articles/Q110/0/85.asp
		Microsoft - REFERENCE # 2: Custom Paper Size Changes to C5 Envelope with HP II or 4- http://support.microsoft.com/support/kb/articles/Q120/6/76.asp
		Microsoft - REFERENCE # 3: Word for Windows 1.x: Printing Envelopes on the IBM 4019 - http://support.microsoft.com/support/kb/articles/Q102/3/93.ASP
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		Microsoft - REFERENCE # 5: Envelopes Print Blank Using Canon LBP-4 Printer http://support.microsoft.com/support/kb/articles/Q110/8/68.ASP
		Microsoft - REFERENCE #6: WD: Custom Paper Sizes Print in Wrong Location http://support.microsoft.com/support/kb/articles/Q115/2/53.ASP
		Microsoft - REFERENCE #7: WD2000: Custom Page Borders May Be Displayed or Printed Incorrectly http://support.microsoft.com/support/kb/articles/Q189/8/89.ASP
		Microsoft - REFERENCE #8: WD2000: "Margins Set Outside Printable Area of Page" http://support.microsoft.com/support/kb/articles/Q191/0/31.ASP
		United States Postal Service, Information Based Indicia Program Postal Security Device Specification, June 13, 1996, Draft Document XP-002137734

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Substitute for form 1449B/PTO				<div>Complete if Known</div> <div>Application Number 09/163,993</div> <div>Filing Date September 30, 1998</div> <div>First Named Inventor Mohan ANANDA</div> <div>Group Art Unit 2161</div> <div>Examiner Name H. Sough</div> <div>Attorney Docket Number 81045.913</div>	
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>				<div>RECEIVED</div> <div>MAY 1999</div>	
Sheet	4	of	4		

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		<p>United States Postal Service, Information Based Indicia Program, Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems, January 12, 1999, Draft Document XP-002138350</p> <p>United States Postal Service, Information Based Indicia Program, Performance Criteria for Information-Based Indicia and Security Architecture for Open IBI Postage Evidencing Systems, June 25, 1999, Draft Document XP-002161216</p> <p>United States Postal Service, Information Based Indicia Program, Indiciu Specification, June 13, 1996, Draft Document XP-002137735</p> <p>United States Postal Service, Information Based Indicia Program Postal Security Device Specification, June 13, 1996, Draft Document XP-002137734</p>

Examiner Signature		Date Considered	
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